

# ROYAL TREASURE SECURITIES LLC

Account Opening Process

StoneX

开户注册流程

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Visit: [www.royaltsec.com](http://www.royaltsec.com)

Click “open account” to start an application

请访问 [www.royaltsec.com](http://www.royaltsec.com)

点击“开户”选项进行开户申请表填写

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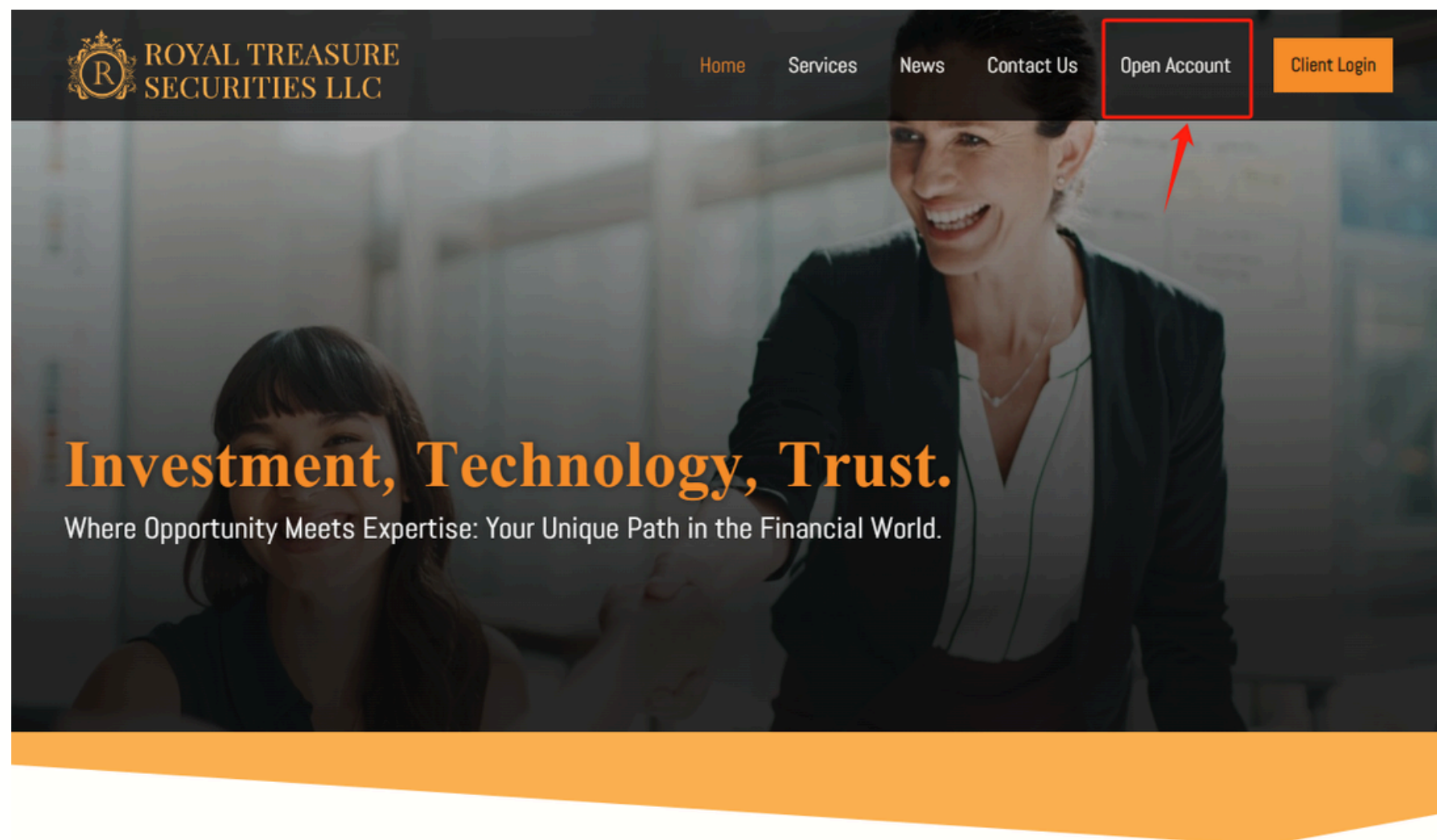
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## Our Services

We offer a wide range of financial products and services to individual and



## Choose the application type fits your situation

根据您的实际需求选择账户类型



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### Understand the online application.

- You must complete this application in one session, as you will not be able to save and return at a later time.

### After submitting your application.

- You will receive an email to upload supporting documents and e-sign the application.
- You will then receive an email to launch the CIP screening.
- After all validations are complete, you will receive an account welcome letter along with your login credentials.

INDIVIDUAL ACCOUNT APPLICATION FORM

BUSINESS ACCOUNT APPLICATION FORM

## Click disclosures, agreements and links to review all related information

请点击“披露”、“协议”和“链接”仔细阅读所有相关信息

### Welcome

#### Please prepare the required documents.

To apply for a brokerage account, you will need to upload the following documents after you complete the online application:

1. A **valid Photo ID** (Foreign Passport/U.S. identification).
2. **Recent 3-months Bank Statements**.
3. A **valid Taxpayer Identification Number** (SSN, ITIN, EIN).
4. A **signed and dated Customer Margin Account Agreement** (For application of a margin account). Please read our [Margin Disclosure Statement](#).

#### Tax Status form:

- For **non-resident alien**, please fill and sign a **W-8BEN form**, which you may access via this [link](#).
- For a **U.S. resident**, please fill and sign a **W-9 form**, which you may access via this [link](#).

Start

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### 欢迎

请准备好所需文件。

要申请股票账户，您需要在完成在线申请后上传以下文件：

1. 有效的带照片身份证件（外国护照/美国身份证件）。
2. 近期 3 个月的银行对账单。
3. 有效的纳税人识别号（SSN, ITIN, EIN）。
4. 已签署并注明日期的**客户保证金账户协议**（用于申请保证金账户）。请阅读我们的**保证金披露声明**。

#### 税务状况表：

- 对于非居民外国人，请填写并签署 W-8BEN 表格，您可以通过此[链接](#)访问。
- 对于美国居民，请填写并签署 W-9 表格，您可以通过此[链接](#)访问。

本申请以英文编制并签署。本申请的任何中文版本仅为参考而提供。如果其英文版本与中文版本之间存在任何不一致之处，则以英文版本为准。

Start

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# 3.1

Complete the application and prepare for the required documents, select StoneX as your clearing firm.

填写申请表并准备开户所需的文件，确保在选择交割公司时选择StoneX。



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**Individual Account Application Form**

0% completed

**New Account Application (Retail Customer) / 新账户申请 (个人客户)**

Customer Type / 客户类型: \*

- Individual / 个人账户
- Joint (More than one account holder) / 联名账户 (多于一个账户持有人)

Ref Code / 申请邀请码:

Primary Applicant Name / 主申请人姓名: \*

Primary Applicant Email / 主申请人电子邮件: \*

Account Type / 账户类型: \*

- Cash / 现金
- Margin / 保证金

**Business Account Application Form**

Unable to load CAPTCHA verification. Please refresh this page.

0% completed

**Account Type Information / 账户类型信息**

Ref Code / 申请邀请码:

Applicant (Control Person) Name / 申请人 (控制人) 姓名: \*

Applicant (Control Person) Email / 申请人 (控制人) 电子邮件: \*

Customer Type / 客户类型: \*

- C-Corporation / C类股份优先公司
- S-Corporation / S类股份优先公司
- Foreign-Corporation / 美国境外公司

# 3.2

## Required documents-Individual account

### 开户所需的文件-个人账户



# Individual Account Opening Checklist

Ensure you have what you need to open a brokerage account at Royal Treasure Securities LLC. Below are the items opening an individual account requires:

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Items needed:	Notes
<input type="checkbox"/> Current Photo ID for account holder. If the shareholder is a non-resident alien (NRA), only an unexpired passport will be accepted.	账户持有人的当前照片身份证明。如果股东是非居民外国人（NRA），仅接受有效期未过的护照。
<input type="checkbox"/> Recent 3-month bank statement (Utility bills if unavaliable)	最近三个月的银行对账单（如无法提供，则提供水电账单）
<input type="checkbox"/> W-8 form or W-9 form	<b>W-8 表格或 W-9 表格</b>
<input type="checkbox"/> Signed CRS form	<b>签署完成的客户服务综合声明表格</b>
<input type="checkbox"/> Disclosure of any affiliated persons, if applicable	<b>披露任何关联公司（如适用）</b>
<input type="checkbox"/> Signed margin agreement, if applicable	<b>签署的保证金协议（如适用）</b>

# 3.3

## Required documents-business account

### 开户所需的文件-企业账户



## Business Account Opening Checklist

Ensure you have what you need to open a brokerage account at Royal Treasure Securities LLC.

Below are the items opening an business account requires:

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Items needed:	Notes
<input type="checkbox"/> Photo ID for shareholders owning 25% or more of the company. If the shareholder is a non-resident alien (NRA), only an unexpired passport will be accepted.	持有公司25%或以上股份的股东需提供照片身份证明。如果股东是非居民外国人（NRA），仅接受有效期未过的护照。
<input type="checkbox"/> Articles of incorporation	公司章程
<input type="checkbox"/> Organization By law or LPA if LLC	公司章程或有限责任公司的有限合伙协议（LPA）
<input type="checkbox"/> Organization chart for management	管理组织结构图
<input type="checkbox"/> Recent 3-month bank statement	最近三个月的银行对账单
<input type="checkbox"/> W-8 form or W-9 form	W-8 表格或 W-9 表格
<input type="checkbox"/> Signed CRS form	签署完成的客户服务综合声明表格
<input type="checkbox"/> Disclosure of any affiliated companies, if applicable	披露任何关联公司（如适用）
<input type="checkbox"/> Signed margin agreement, if applicable	签署的保证金协议（如适用）



4

After submitting the application, you will receive an email requesting you to e-sign and upload documents. Please read it carefully and sign the document as instructed.

提交申请后，您将收到一封电子邮件，请求您进行电子签名并上传文件。请仔细阅读邮件并按要求签署文件。

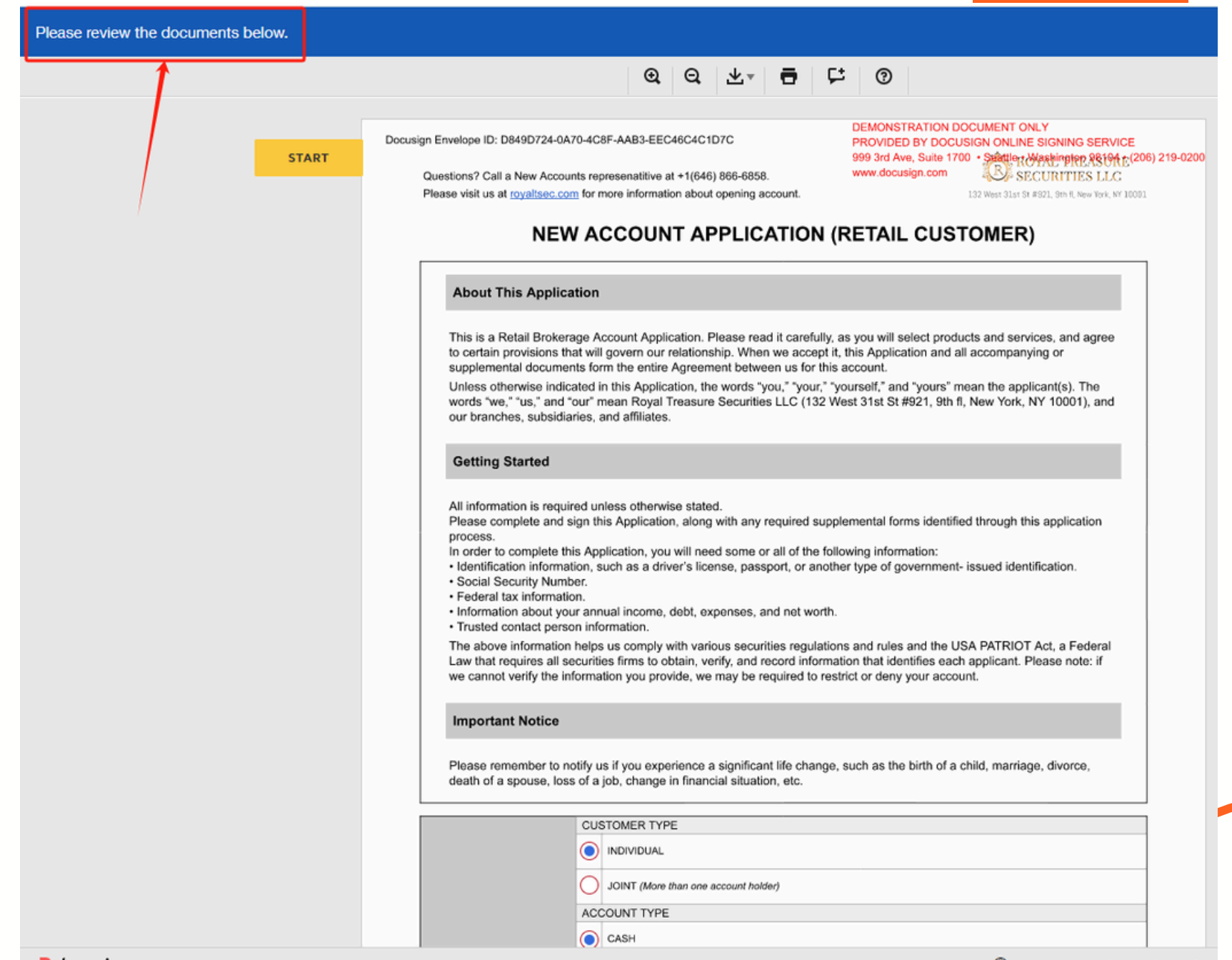
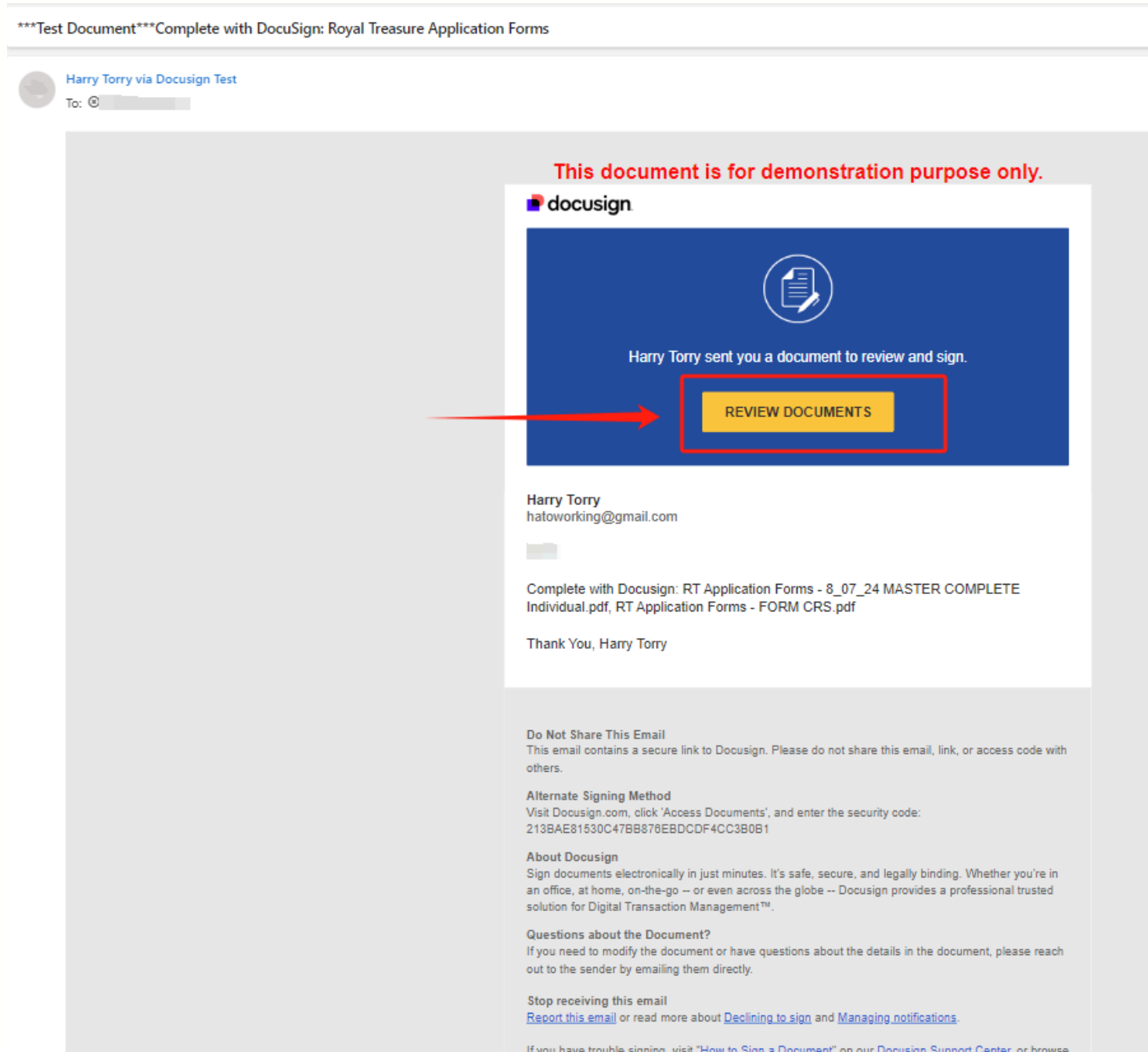
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4.1

999 3rd Ave, Suite 1700 • Seattle • Washington 98104 • (206) 219-0211  
www.docusign.com

<b>W-9 CERTIFICATION</b>	IF I AM A U.S. PERSON FOR TAX PURPOSES: Under penalties of perjury, I certify that: (1) the number shown on this form is my correct taxpayer identification number; (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Services (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; (3) I am a U.S. citizen or other U.S. person; and (4) the FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct. Definition of a U.S. person. For federal tax return purposes, you are considered a U.S. person if you are: An individual who is a U.S. citizen or U.S. resident alien, A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States, an estate (other than a foreign estate), or a domestic trust (as defined in Regulations section 301.9001-10).	
	IF I AM NOT A U.S. PERSON FOR TAX PURPOSES: I am submitting the applicable Form W-8 with this form to certify my foreign status.	
	<b>ACKNOWLEDGEMENT</b> The Internal Revenue Service does not require your consent to any provisions of this document other than the certifications required to avoid backup withholding. I authorize my broker and/or Clearing Firm to obtain a consumer report at the time of application to verify my creditworthiness and to obtain a consumer report from time to time for updates, renewals, extensions, and collection activity on any approved account. Upon my written request, my broker and/or Clearing Firm will disclose to me whether it obtained a report, and if so, the name and address of the consumer-reporting agency that provided it. In the event that my account is denied by Clearing Firm, as a result of the consumer report verification, I authorize Clearing Firm to provide to my broker the reason(s) for such denial. By signing this Application, you affirm that you have received and read this Application and any supplemental documents governing this relationship. You affirm that the information you have provided is accurate and you agree to notify us of any changes in the information provided.	
<b>REVIEW &amp; SIGNATURES</b>	<b>SIGNATURES</b>	
	Primary Applicant Name (Please print) dfasf	Primary Applicant Signature Sign ↓
	Co-Applicant Name (Please print)	Co-Applicant Signature Date 8/29/2024

1

DocuSign Envelope ID: D849D724-0A70-4C8F-AAB3-EEC46C4C1D7C  
999 3rd Ave, Suite 1700 • Seattle • Washington 98104 • (206) 219-0211  
www.docusign.com

DEMONSTRATION DOCUMENT ONLY  
PROVIDED BY DOCUSIGN ONLINE SIGNING SERVICE

**APPENDIX: Required Supporting Documents for Account Opening**

Supporting Document	Note	Attachments	
		Primary Applicant	Co-Applicant
Photo ID	Required	↓ 📎	
Bank Statement (Most Recent 3 months)	Required	↓ 📎	
W-8BEN or W9	Required	↓ 📎	
Customer Margin Account Agreement	If applying for Margin Account, then required	↓ 📎	Optional

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**Do you or your financial professionals have legal or disciplinary history?**

No. Please visit Investor.gov/CRS for a free and simple search tool to research RTS and RTS's financial professionals.

**CONVERSATION STARTERS:**  
As a financial professional, do you have any disciplinary history? For what type of conduct?

**Additional Information**

For up-to-date information about our firm, our financial professionals or to request a current copy of the relationship summary, please call 617-816-1957, or email at help@royaltsec.com.

**CONVERSATION STARTERS:**  
Who is my primary contact person? Is he or she a representative of a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?

<b>SIGNATURE</b>	Client Name (Please print) dfasf	Client Signature Sign ↓	Date 8/29/2024
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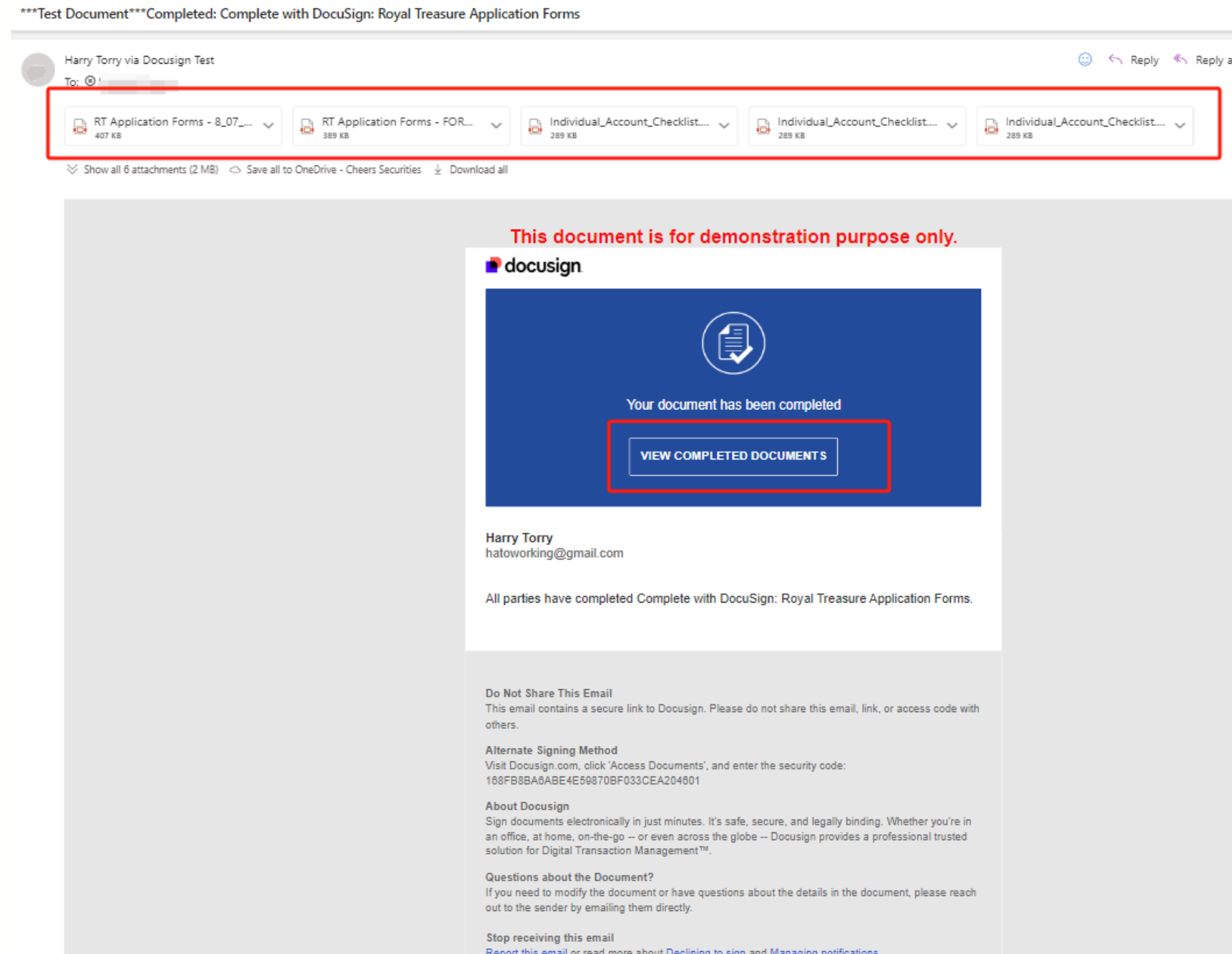
**FINISH** OTHER ACTIONS ▾

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## 4.2

After you complete the e-signature and submit the relevant documents, you will receive an email with the files you uploaded and the documents that have been signed and are effective.

在您完成电子签名并提交相关文件后，您的电子邮箱将收到一封电子邮件，其中包含您上传的文件以及已签署生效的文件



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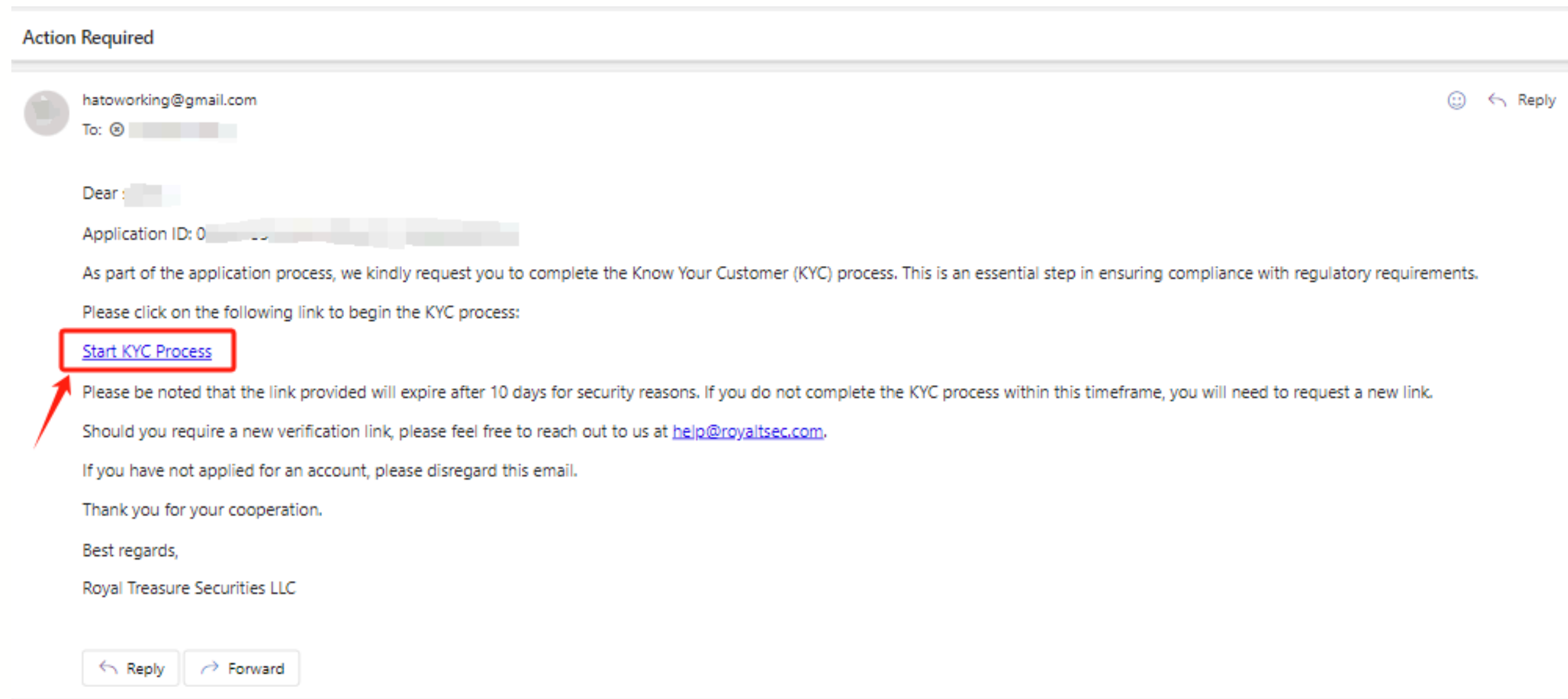
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**Additionally, you will receive another email asking you to click the link and complete the KYC verification as instructed on the page.**

**同时，您还会收到另一封邮件，请点击链接并按照页面上的指示完成 KYC 认证。**



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# 5.1

## English version-Individual

### 英文版本-个人

Getting started

We need some information to help us confirm your identity.



By clicking the button below, you consent to Persona, our vendor, collecting, using, and utilizing its service providers to process your biometric information to verify your identity, identify fraud, and improve Persona's platform in accordance with its [Privacy Policy](#). Your biometric information will be stored for no more than 3 years.

**Begin verifying**

Pass verifications

English  SECURED WITH persona



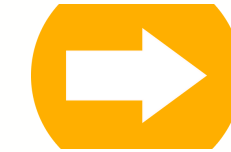
What country is your government ID from?

This helps us determine the best way to verify your identity.

United States

**Select**

Pass verifications



Upload a photo ID

We require a photo of a government ID to verify your identity.

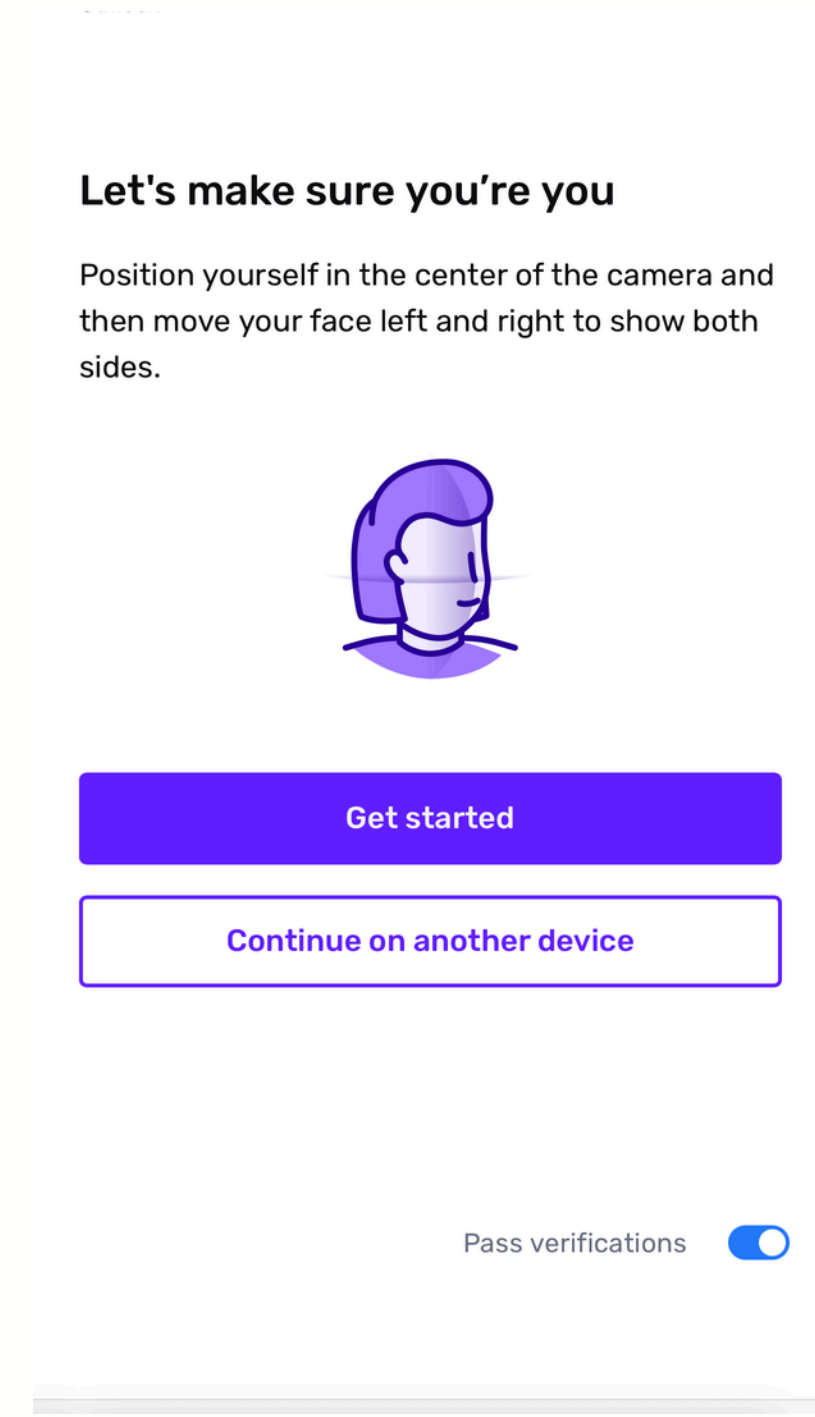
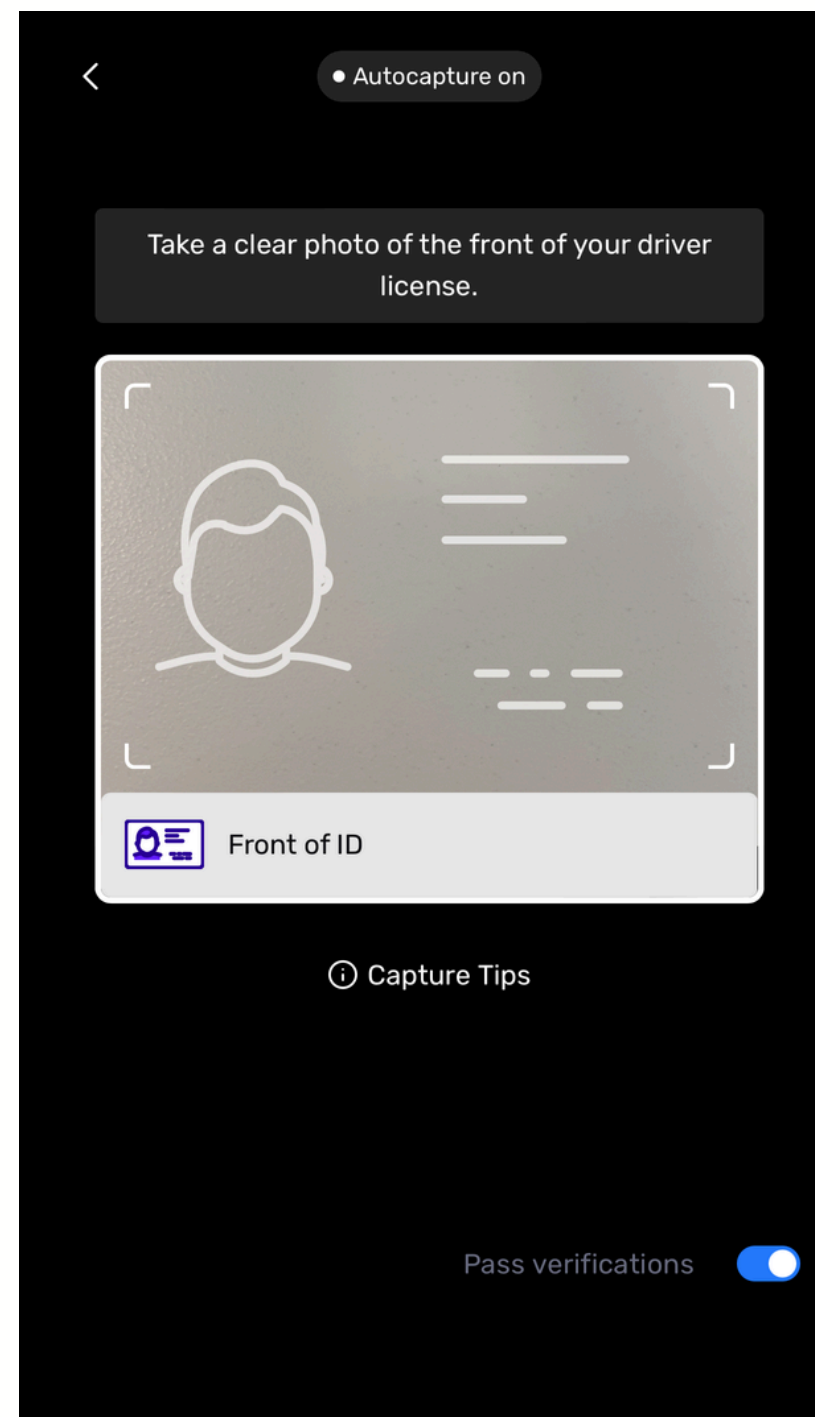
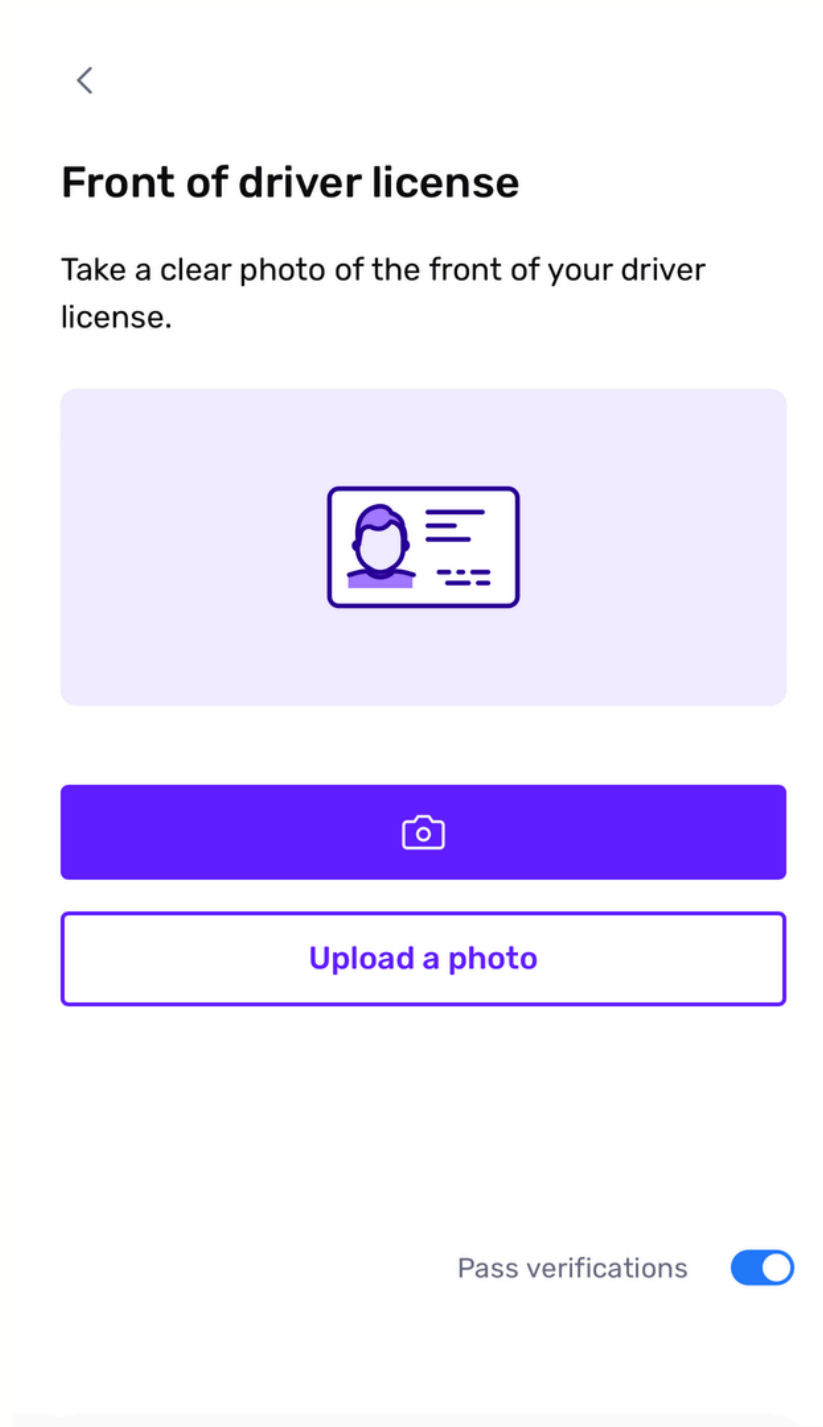
Choose 1 of the following options

- Driver License >
- State ID >
- Passport >
- Passport Card >
- Permanent Resident Card >
- Non-Citizen Travel Document >
- Pass verifications
- Visa >

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The verification processes for business accounts and individual accounts have slight differences.  
商业账户和个人账户的验证过程略有不同。





**Please use the original documents for verification.**  
请使用证件原件进行认证



Center yourself on the screen



Take photo

Pass verifications

**Congratulations, you're done!**

Thanks for verifying your identity.



Pass verifications

5.2

# Chinese version-Business 中文版本-企业

**确认你的身份**

我们需要你的信息以便于验证身份

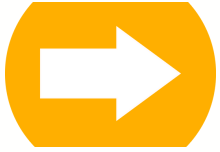


点击下方按钮即表示您同意我们的供应商 Persona 根据其[隐私政策](#)，收集、使用您的生物识别信息，并利用其服务提供商处理该信息，以验证您的身份、识别欺诈，并改进 Persona 的平台。您的生物识别信息将被存储不超过 3 年。

**开始**

Pass verifications

中文 (简体) SECUED WITH persona



**我们开始谈正事吧**

公司名称

业务A

地址

公寓

**继续**

Pass verifications



**国家**

请选择能确认你身份的国家

**确定**

Pass verifications

- 6
- 7
- 8
- 9

The verification processes for business accounts and individual accounts have slight differences.  
商业账户和个人账户的验证过程略有不同。



**Please use the original documents for verification.**  
**请使用证件原件进行认证**



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## 让我们确定你就是你

将自己置于相机中央，然后左右移动脸部以显示两侧。



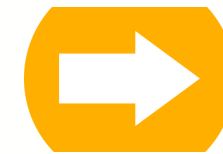
开始使用

在另一台设备上继续

Pass verifications



将自己置于屏幕中央



Pass verifications

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## 恭喜你，你已经完成了！

感谢您验证自己的身份。



Pass verifications

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5.3

# 邮件电子签名 E-signature

After completing the KYC verification, you will receive an email informing you that your application is being processed. You will receive an email from StoncX for E-signature. Files may include as below.

完成 KYC 认证后，您将收到一封邮件，告知您的申请正在处理。之后，您将会收到StoneX 的表格签名邮件。需要签名的表格会包括以下截图中的文件。

Application Review Pending

hatoworking@gmail.com  
To: [Redacted]

Dear [Redacted],

Thank you for choosing Royal Treasure Securities LLC. We have received your application, and it is currently under review by our team.

Please rest assured that we are working diligently to process your application as quickly as possible. However, the review process may take some time as we ensure that all necessary information is carefully assessed.

We appreciate your patience and understanding during this time. We will notify you as soon as a decision has been made regarding your application.

Best regards,  
Royal Treasure Securities LLC

Reply Forward

Introduced Customer Account Agreement	Domestic (美国境内)
New Account Application - IBD	
W-9	

Introduced Customer Account Agreement	International account (国际账户)
New Account Application - IBD	
Non-US Account Section 312	
Picture Identification 1	
W-8BEN	

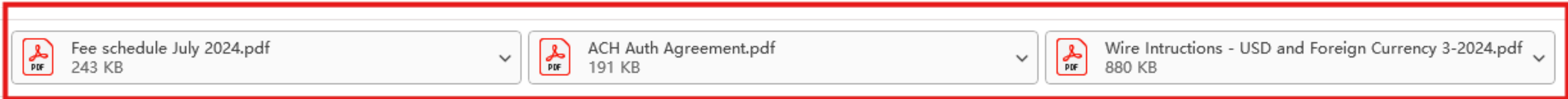
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# 账户激活 Account Activation

After your account is successfully opened, you will receive an email containing the fee details and your account activation password. You will need the information in the red box to activate your account.

账户成功开通后，您将收到一封邮件，内容包括费用明细和激活账户需要信息。您需要使用框中信息登陆激活您的StoneX账户。



We're pleased to inform you that your account with Stone X has now been opened. The wire/ACH instructions and fees schedule are attached.

To activate your account, please visit <https://client.stonex.com/> and click on "New User."

You'll need the following information to complete the activation process:

- Account Number:
- Corporate/Entity(Tax) ID:
- Your Passport ID and Date of Birth
- A valid phone number & Email

Upon completion of the activation, you'll receive another email with login instructions.

As a reminder, Royal Treasure Securities does not offer a self-trading platform for accounts opened with Stone X.

You may email or call us during normal business hours (M-F, 9:00 am - 5:00 pm EST) to place orders.

Our trade desk can be reached at (646) 751-8957 ext. 1001.

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Visit <https://client.stonex.com/>, select the “New User” tab, then select “Enroll for online access”.

登录<https://client.stonex.com/> 后点击 New user, 点击圈中 “Enroll for online access”



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# 7.1

填写您的账户号码，账户号码会在您的账户申请表或邮件中显示，若无法找到可以发送邮件至 [client.service@royaltsec.com](mailto:client.service@royaltsec.com)

**Fill in your account number. It will be shown on your account application form or in your email. If you cannot find it, please send an email to [client.service@royaltsec.com](mailto:client.service@royaltsec.com)**

Getting started. Please enter your account number.

If you have more than one account, you will need to register for each account individually.

\* Account Number

Account Number is required.

Go Back

Next

[Chat with Support](#)

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## Personal Information 公司及个人信息验证

填写您的公司税号（公司账户），或是您的个人税号（个人账户），您的身份文件类型和号码。您的出生日期。

**Fill in your company tax number (for company accounts) or your personal tax ID number (for personal accounts), and your identification document type and date of birth.**

输入您的账户申请注册邮箱地址。

**Enter your account registration email address.**

Complete the following so we can connect you to your account.

\* Corp / Trust ID of entity:

Corp / Trust ID of entity is required.

\* Select your ID type:

\* Passport Number:

\* Date of Birth

Next

[Chat with Support](#)

**This email address will be your StoneX username.**

If you are already a StoneX Client user, please use your StoneX username.

\* Email Address

\* Confirm Email Address

Next

[Chat with Support](#)

# 8.1

填写您的个人姓名与电话号码  
点击 **Submit** 会给您邮箱发送下一步骤邮件。

Fill in your name and phone number

Click Submit and the next step will be sent to your email.

Let's complete the rest of your profile.

\* Email Address

\* First Name

\* Last Name

+1 (United States a...  Phone Number

+1 (United States a...  Confirm Phone Number

[Chat with Support](#)

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**Everything is set up, so you can start trading  
in the stock market and enjoy the journey!**

**一切已准备就绪，您可以开始在股市中交易，  
尽情体验投资乐趣！**

# Thank you

## Contact Details

**Phone :** (646) 866-6858

**Web:** <https://royaltsec.com>

**Email :** [client.service@royaltsec.com](mailto:client.service@royaltsec.com)

**Address :** 132 West 31st St #921, 9th fl, New York, NY 10001